

# Classification of Group Actions on Kirchberg Algebras

by

泉 正己

Masaki Izumi

京都大学大学院理学研究科数学教室

Department of Mathematics

Graduate School of Science

Kyoto University

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## Introduction

Classification results of group actions on the injective factor of type  $\text{II}_1$ :

### Theorem

$\exists^1$  Cocycle conjugacy class of outer actions of

- $\mathbb{Z}, \mathbb{Z}/n\mathbb{Z}$ : (Connes 1975),
- Finite groups: (Jones 1980),
- Amenable discrete groups: (Ocneanu 1985).

Discrete amenable group actions on the other injective factors are also classified:

(竹崎 Takesaki, Sutherland, 河東 Kawahigashi , 片山 Katayama).

What about the case of nuclear  $C^*$ -algebras?

There is a hope to classify outer actions of “reasonable” amenable groups on Kirchberg algebras.

### Plan of the lectures

I. Overview.

II. The classification of  $\mathbb{Z}$ -actions  
(after 中村 Nakamura).

III. Poly- $\mathbb{Z}$  group actions.

Work in progress with 松井宏樹 Hiroki Matui.

## Kirchberg Algebras

### Definition

A Kirchberg algebra is a purely infinite, simple, nuclear, separable  $C^*$ -algebra.

### Example

Cuntz algebra  $\mathcal{O}_n = C^*\{S_1, S_2, \dots, S_n\}$ :

$$S_i^* S_j = \delta_{ij} 1,$$

$$\sum_{i=1}^n S_i S_i^* = 1, \quad \text{if } n < \infty.$$

### Theorem (Kirchberg, Phillips)

Kirchberg algebras are completely classified by  $KK$ -theory.

## **Definition**

$\rho_1, \rho_2 \in \text{Hom}(A, B)$  are asymptotically unitarily equivalent,  $\rho_1 \stackrel{\text{as.u.}}{\sim} \rho_2$ ,

$\stackrel{\text{def}}{\Leftrightarrow} \exists \{u(t)\}_{t \geq 0}$  continuous path in  $U(B)$  s.t.

$$\lim_{t \rightarrow \infty} \|Adu(t) \circ \rho_2(x) - \rho_1(x)\| = 0, \quad \forall x \in A.$$

$\hat{H}(A, B) :=$  The set of unital homomorphisms from  $A$  to  $B$  modulo asym. u. equivalence.

## **Theorem** (Kirchberg, Phillips)

$A, B$ : Unital Kirchberg algebras with

$[1_A]_0 = 0$  in  $K_0(A)$ , and  $[1_B]_0 = 0$  in  $K_0(B)$ .

Then

$$\hat{H}(A, B) \cong KK(A, B).$$

The main ingredient of the proof:

**Theorem** (Kirchberg 1994)

(1)  $\mathcal{O}_\infty$ -theorem:

For any Kirchberg algebra  $A$ ,

$$A \otimes \mathcal{O}_\infty \cong A.$$

cf.  $\mathcal{O}_\infty \overset{KK}{\sim} \mathbb{C}$ .

(2)  $\mathcal{O}_2$ -theorem:

For any separable nuclear simple unital  $A$ ,

$$A \otimes \mathcal{O}_2 \cong \mathcal{O}_2.$$

cf.  $\mathcal{O}_2 \overset{KK}{\sim} \{0\}$ .

## $\mathbb{Z}$ -actions

$\alpha \in \text{Aut}(A)$  is said to be aperiodic if  $\alpha^n$  is outer for all  $n \in \mathbb{Z} \setminus \{0\}$ .

**Theorem** (Nakamura 1999)

$A$ : Kirchberg algebra

For aperiodic  $\alpha, \beta \in \text{Aut}(A)$ , T.F.A.E.

(1)  $KK(\alpha) = KK(\beta)$ ,

(2)  $\exists \gamma \in \text{Aut}(A)$ ,  $\exists u \in U(A)$  s.t.

$KK(\gamma) = 1$ , and  $\gamma^{-1} \circ \alpha \circ \gamma = \text{Ad}u \circ \beta$ .

The main ingredient of the proof:

### **Lemma**

Any aperiodic automorphism of a Kirchberg algebra has the Rohlin property.

## Definition

$\alpha \in \text{Aut}(A)$  has the Rohlin property

$\stackrel{\text{def}}{\Leftrightarrow} \forall \varepsilon > 0, \forall k \in \mathbb{N}, \forall F \subset A$  finite,

$\exists \{e_i\}_{i=0}^{k-1} \cup \{f_i\}_{i=0}^k \subset P(A)$  s.t.

$$\sum_{i=0}^{k-1} e_i + \sum_{i=0}^k f_i = I,$$

$$\|\alpha(e_i) - e_{i+1}\| < \varepsilon, \quad i = 0, 1, \dots, k-2,$$

$$\|\alpha(f_i) - f_{i+1}\| < \varepsilon, \quad i = 0, 1, \dots, k-1,$$

$$\|e_i x - x e_i\| < \varepsilon, \quad x \in F, \quad i = 0, 1, \dots, k-1,$$

$$\|f_i x - x f_i\| < \varepsilon, \quad x \in F, \quad i = 0, 1, \dots, k.$$

## Equivalence Relations

$G$ : Discrete group,

$A, B$ : Unital  $C^*$ -algebras,

$\alpha : G \rightarrow \text{Aut}(A)$ ,  $\beta : G \rightarrow \text{Aut}(B)$ : Actions.

- $\alpha$  and  $\beta$  are conjugate,  $\alpha \cong \beta$

$\stackrel{\text{def}}{\Leftrightarrow} \exists \theta : A \rightarrow B$  isomorphism s.t.  $\theta^{-1} \circ \beta_g \circ \theta = \alpha_g$ .

- $\alpha$  and  $\beta$  are outer conjugate

$\stackrel{\text{def}}{\Leftrightarrow} \exists \theta : A \rightarrow B$  isomorphism,  $\exists u_g \in U(A)$  s.t.  
 $\theta^{-1} \circ \beta_g \circ \theta = \text{Ad}u_g \circ \alpha_g$ .

- $\alpha$  and  $\beta$  are cocycle conjugate

$\stackrel{\text{def}}{\Leftrightarrow} \exists \theta : A \rightarrow B$  isomorphism,  $\exists u_g \in U(A)$  s.t.  
 $\theta^{-1} \circ \beta_g \circ \theta = \text{Ad}u_g \circ \alpha_g$  and  
 $u_g \alpha_g(u_h) = u_{gh}$  (1-cocycle relation).

If moreover  $A = B$  and  $KK(\theta) = 1$ , we say that  
 $\alpha$  and  $\beta$  are  $KK$ -trivially cocycle conjugate.

## Finite Group Actions

Finite group actions are difficult to deal with.

For instance, though  $\mathcal{O}_2 \overset{KK}{\sim} \{0\}$ ,

**Theorem** (I. 2004)

$\forall G_0, G_1$  : Uniquely 2-divisible countable abelian groups,

$\exists \alpha$  : Outer  $\mathbb{Z}_2 = \mathbb{Z}/2\mathbb{Z}$ -action on  $\mathcal{O}_2$  s.t.

$$K_i(\mathcal{O}_2 \rtimes_{\alpha} \mathbb{Z}_2) = G_i, \quad i = 0, 1.$$

Moreover,  $\exists \{u(t)\}_{t \geq 0} \subset U(\mathcal{O}_2)$  continuous path s.t.

$$u(t)^2 = 1, \quad \alpha(u(t)) = u(t),$$

$$\alpha(x) = \lim_{t \rightarrow \infty} \text{Adu}(t)(x), \quad \forall x \in \mathcal{O}_2.$$

Note that  $K_*(\mathcal{O}_2 \rtimes_{\text{Adu}(t)} \mathbb{Z}_2) = \{0\}$ .

**Theorem** (I. 2004)

$A$  : Kirchberg algebra satisfying UCT.

$G$  : Finite group.

$\alpha, \beta$  :  $G$ -actions on  $A$  with the Rohlin property.

Then T.F.A.E.

(1)  $\forall g \in G, K_*(\alpha_g) = K_*(\beta_g)$ .

(2)  $\exists \theta \in \text{Aut}(A)$ , s.t.  $K_*(\theta) = 1$  and

$$\theta^{-1} \circ \alpha_g \circ \theta = \beta_g.$$

**Theorem** (I. 2004)

$A$  : Unital simple separable nuclear,

$G$  : Finite groups,

$\alpha$  : Outer action of  $G$ .

Then

$$(A \otimes \mathcal{O}_2, \alpha \otimes \text{id}) \cong (\mathcal{O}_2, \mu),$$

where  $\mu$  is a unique action with the Rohlin property,

e.g.  $\mu(S_1) = S_1, \mu(S_2) = -S_2$ .

$\{S_i\}_{i=1}^{\infty}$  : Canonical generators of  $\mathcal{O}_{\infty}$ .

$\mathcal{H} := \overline{\text{Span}\{S_i\}_{i=1}^{\infty}}$ .

An action  $\mu$  of  $G$  on  $\mathcal{O}_{\infty}$  is quasi-free

$\stackrel{\text{def}}{\Leftrightarrow} \forall g \in G, \mu_g(\mathcal{H}) = \mathcal{H}$ .

### **Theorem** (I.)

$A$  : Kirchberg algebra,

$G$  : Finite group,

$\alpha$  : Outer action of  $G$  on  $A$ .

Then for any sequence of quasi-free actions

$\{\mu^{(i)}\}_{i=1}^{\infty}$ ,

$$(A, \alpha) \cong (A \otimes \bigotimes_{i=1}^{\infty} \mathcal{O}_{\infty}, \alpha \otimes \bigotimes_{i=1}^{\infty} \mu^{(i)}).$$

### **Conjecture**

The statement is still true for any discrete amenable group (if conjugacy is replaced by cocycle conjugacy).

The conjecture is true for poly- $\mathbb{Z}$  groups.

## Poly- $\mathbb{Z}$ groups

### Definition

A discrete group  $G$  is a poly- $\mathbb{Z}$  group  $\stackrel{\text{def}}{\Leftrightarrow}$  if there exists a normal series

$$\{e\} = G_0 \triangleleft G_1 \triangleleft \cdots \triangleleft G_m = G,$$

such that

$$G_{i+1}/G_i \cong \mathbb{Z}^{n_i}.$$

The number

$$h(G) = \sum_{i=0}^{m-1} n_i$$

is said to be the Hirsch length of  $G$ .

### Example

If  $h(G) = 2$ , either  $G = \mathbb{Z}^2$  or

$$G = \langle a, b \mid aba^{-1}b = e \rangle = \pi_1(\text{Klein bottle}).$$

## Definition

$A$ : Unital  $C^*$ -algebra.

$A$  is strongly self-absorbing

$\stackrel{\text{def}}{\Leftrightarrow} \exists \rho : A \rightarrow A \otimes A$  isomorphism s.t.  $\rho$  and  $A \ni x \mapsto x \otimes 1 \in A \otimes A$  is approximately unitarily equivalent.

## Example

$\mathcal{O}_2, \mathcal{O}_\infty, \mathcal{O}_\infty \otimes B$ , where  $B$  is a UHF algebra with  $B \cong B \otimes B$ .

These exhaust all the strongly self-absorbing Kirchberg algebras satisfying UCT.

## Theorem (Dadarlat-Winter)

$A$  :  $K_1$ -injective and strongly self-absorbing.

The homotopy group  $\pi_n(\text{Aut}(A))$  is trivial for any  $n$ .

**Theorem** (Matui-I.)

$A$ : Strongly self-absorbing Kirchberg algebra,

$G$ : Poly- $\mathbb{Z}$  group.

Then there exists a unique cocycle conjugacy class of outer  $G$ -actions on  $A$ .

**Theorem** (Matui-I.)

$A$ : Kirchberg algebra,

$G$ : Poly- $\mathbb{Z}$  group,

$\alpha$ : Outer  $G$ -action on  $A$ ,

$\mu$ : Outer  $G$ -action on  $\mathcal{O}_\infty$ .

Then  $\alpha$  is cocycle conjugate to  $\alpha \otimes \mu$  on  $A \otimes \mathcal{O}_\infty$ .

**Corollary**

Any outer action of  $\mathbb{Z}^n$  on a Kirchberg algebra has the Rohlin property.

## Higher invariant

$A$ : Kirchberg algebra,

$G$ : Discrete group,

$\alpha, \beta$ :  $G$ -actions on  $A$ .

The homomorphism

$$G \ni g \mapsto KK(\alpha_g) \in KK(A, A)^{-1}$$

is an invariant for  $KK$ -trivial cocycle conjugacy.

When  $KK(\alpha_g) = KK(\beta_g)$ ,

is there any obstruction for  $KK$ -trivial cocycle conjugacy?

Since  $\alpha_g \otimes \text{id}_{\mathbb{K}} \stackrel{h}{\sim} \beta_g \otimes \text{id}_{\mathbb{K}}$  in  $\text{Aut}(A \otimes \mathbb{K})$ ,  
one can take a homotopy  $\{\sigma_g^{(t)}\}_{t \in [0,1]}$ .

$\omega(g, h) :=$  The class of the loop

$[0, 1] \ni t \mapsto \sigma_g^{(t)} \circ \sigma_h^{(t)} \circ \sigma_{gh}^{(t)-1} \in \text{Aut}(A \otimes \mathbb{K})_0$   
in  $\pi_1(\text{Aut}(A \otimes \mathbb{K})_0)$ .

$\omega$  satisfies the 2-cocycle relation:

$$g \cdot \omega(h, k) - \omega(gh, k) + \omega(g, hk) - \omega(g, k) = 0.$$

The cohomology class  $c(\alpha, \beta)$  of  $\omega$  in  $H^2(G, \pi_1(\text{Aut}(A \otimes \mathbb{K})_0))$  is an obstruction for  $KK$ -trivial cocycle conjugacy.

**Theorem** (Dadarlat)

$$\pi_1(\text{Aut}(A \otimes \mathbb{K})_0) \cong KK^1(A, A).$$

$$c(\alpha, \beta) \in H^2(G, KK^1(A, A)).$$

## Classification

### Theorem (Matui-I.)

$A$ : Kirchberg algebra,

$G = \mathbb{Z}^2$  or  $G = \langle a, b \mid aba^{-1}b = e \rangle$ ,

$\alpha, \beta$ : Outer  $G$ -actions of  $G$  on  $A$  with

$KK(\alpha_g) = KK(\beta_g)$ .

Then T.F.A.E.

(1)  $\alpha$  and  $\beta$  are  $KK$ -trivially cocycle conjugate,

(2)  $c(\alpha, \beta) = 0$ .

For a poly- $\mathbb{Z}$  group  $G$ ,  $h(G)$  coincides with the cohomological dimension of  $G$ , that is,

$$H^n(G, M) = \{0\}$$

for  $\forall n > h(G)$  and  $\forall G$ -module  $M$ .

For  $G$  with  $h(G) > 2$ , the two conditions

$KK(\alpha_g) = KK(\beta_g)$  and  $c(\alpha, \beta) = 0$  are

not enough for  $KK$ -trivial cocycle conjugacy.